

JAN 1 6 2003

RECEIVED

TECH CENTER 1600/2900

TOWNSEND and TOWNSEND and CREW LLP

By Duda Shaffer

**PATENT** 

Attorney Docket No. 16303-008030

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Thomas D. Madden, et al.

Application No.: 09/896,812

Filed: June 29, 2001

For: LIPOSOMAL ANTINEOPLASTIC

DRUGS AND USES THEREOF

Examiner: Gollamudi S. Kishore

Art Unit: 1615

RESPONSE TO RESTRICTION

REQUIREMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Restriction Requirement, Applicants elect, with traverse, to prosecute the claims of Group II, claims 32-63, drawn to compositions containing anti-neoplastic agents. Applicants' election is made with traverse as examination of the subject matter recited in the claims of Groups I and II would not place a substantially greater burden on the Examiner.

Further, in response to the Species Election, Applicants elect species (b), *i.e.*, compositions without empty liposomes. As stated in MPEP § 809.02(a), "[u]pon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which are written in dependent form or otherwise include all the limitations of an allowed generic claim as provided by 37 CFR § 1.141."

Attorney Docket No. 16303-008030

Application No.: 09/896,812

Page 2

If the Examiner has any questions regarding Applicants' elections, or if the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned at (925) 472-5000.

Respectfully submitted,

Joseph R. Snyder Reg. No. 39,381

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834 (415) 576-0200 Fax (415) 576-0300

EGW/lls

WC 9047393 v1

16154

Please type a plus sign (+) inside this box

PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031

1/6/03

1/6/03

Date

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

binder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/896,812

Filing Date June 29, 2001

First Named Inventor Madden, Thomas D. Character Street Value 1615

Examiner Name Gollamudi S. Kishore

Attorney Docket Number 16303-008030

Total Number of Pages in This Submission	1 Attorn	ey Docket Number	16303-008030					
ENCLOSURES (check all that apply)								
☐ Fee Transmittal Form	Assignment Papers (for an Application)		After Allowance Communication to Group					
Fee Attached	☐ Drawing(s)		Appeal Communication to Board of Appeals and Interferences					
Amendment / Reply	Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)					
After Final	Petition		Proprietary Information					
Affidavits/declaration(s)	Petition to Conv Provisional App		Status Letter					
Extension of Time Request	Power of Attorne	ey, Revocation espondence Address	Other Enclosure(s) (please identify below):					
Express Abandonment Request	Terminal Disclaimer Request for Refund		Response to Restriction RequirementReturn					
☐ Information Disclosure Statement	CD, Number of CD(s)		Postcard					
Certified Copy of Priority Document(s)	Remarks	The Commissioner is a Deposit Account 20-14	er is authorized to charge any additional fees to 20-1430.					
Response to Missing Parts/ Incomplete Application		J						
Response to Missing Parts under 37 CFR 1.52 or 1.53								
SIGNA	TURE OF APPLIC	ANT, ATTORNEY, O	R AGENT					
	wnsend and Crew LLF							
and Joseph R. Snyder Reg. No			. 39,381					
Signature Josef N.								
Date 1/6/03								
	CERTIFICA	TE OF MAILING						

Burden Hour Statement: This form is estimated to take Of hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first

class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:

Linda Shaffer

WC 9051409 v1

Signature

Typed or printed name

PTO/SB/17 (10-02) grough 10/31/2002. OMB 0651-0032 B. DEPARTMENT OF COMMERCE

Approved for the Patent and Trademark Office plays a valid OMB control number. the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless-to-

<b>FEE TRANSMITTAL</b>
for FY 2003

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)	465

	Complete if Known	
Application Number	09/896,812	PRO
Filing Date	June 29, 2001	
First Named Inventor	Madden, Thomas D.	Su MI
Examiner Name	Gollamudi S. Kishore	CENT OF PO
Group Art Unit	1615	ERIO
Attorney Docket No.	16303-008030	

METHOD OF PAYMENT (check all that apply)				FEE C	ALCULATION (continued)	
Check Credit Card MoneyOrder Other None	3. ADD	ITIONAL	FEES			
Deposit Account:	Large	Entity	Smail	Entity		
	Fee	Fee	Fee	Fee	Fee Description	Fee
Deposit Account 20-1430	Code	(\$)	Code	(\$)	·	Paid
Number	1051	130	2051	65 25	Surcharge - late filing fee or oath	
	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit Account Townsend and Townsend and Crew LLP	1053	130	1053	130	Non-English specification	
Name	1812	2,520	1812	2,520	For filing a request for reexamination	
The Commissioner is authorized to: (check all that apply)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below Credit any overpayments	1805	1,840*	1805	1,840*	Requesting publication of SIR after	
Charge any additional fee(s) during the pendency of this application		.,.		•	Examiner action	
Charge fee(s) indicated below, except for the filing fee	1251	110	2251	55	Extension for reply within first month	
to the above-identified deposit account.	1252	410	2252	205	Extension for reply within second month	
FEE CALCULATION	1253	930	2253	465	Extension for reply within third month	465
1. BASIC FILING FEE	1253	1,450	2253	725	Extension for reply within fourth	
Large Entity Small Entity	1254	1,430	2254	125	month	
Fee Fee Fee Fee Description	1255	1,970	2255	985	Extension for reply within fifth month	
Code (\$) Code (\$)	1401	320	2401	160	Notice of Appeal	
1001 750 2001 375 Utility filing fee	1402	320	2402	160	Filing a brief in support of an appeal	
1002 330 2002 165 Design filing fee	1403	280	2403	140	Request for oral hearing	
1003 520 2003 260 Plant filing fee 1004 750 2004 375 Reissue filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to revive – unavoidable	
	1453	1,300	2453	650	Petition to revive – unintentional	
SUBTOTAL (1)		1,280	2501	640	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1502	460	2502	230	Design issue fee	
Fees from	1503	620	2503	310	Plant issue fee	
Extra Claims below Fee Paid	1460	130	1460	130	Petitions to the Commissioner	
Total Claims -** = = = =	1807	50	1807	50	Petitions related to provisional applications	
Independent Claims -** =	1806	180	1806	180	Submission of Information Disclosure Stmt	
Multiple Dependent	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Large Entity Small Entity	1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
Fee Fee Fee Fee Pee Pee Pee Pee Pee Pee	1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3	1801	750	2801	375	Request for Continued Examination	
1203 280 2203 140 Multiple dependent claim, if not paid					(RCE)	
1204 84 2204 42 ** Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination of a design application	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	Other fe	ee (specify	/)			
SUBTOTAL (2) (\$)	*Reduc	ed by Bas	ic Filing	Fee Pa	id SUBTOTAL (3) (\$)465	
**or number previously paid, if greater; For Reissues, see above						

SUBMITTED BY	TED BY				Complete (if applicable)		
Name (Print/Type)	Joseph R. Snyder	Registration No. (Attorney/Agent)	39,381	Telephone	925-472-5000		
Signature	Jas n./	h		Date	1/6/03		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. WC 9051407 v1